



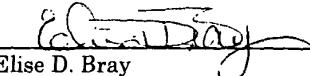
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Elise D. Bray

Applicant : J. David Rozzell, Jr.  
Application No. : 10/039,952  
Filed : October 24, 2001  
Title : METHOD FOR CHEMICAL TRANSFORMATION USING A MUTATED ENZYME

Grp./Div. : 1623  
Examiner : Not yet assigned  
Docket No. : 47418/KMO/B583

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INFORMATION DISCLOSURE STATEMENT  
FOR A CONTINUING NON-CPA APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
March 6, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed is FORM PTO/SB/08A/B.

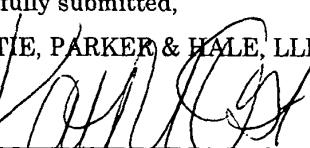
The references listed thereon are not enclosed because they were of record in the parent application, Application No. 09/702,421, filed October 31, 2000.

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Kathleen M. Olster  
Reg. No. 42,052  
626/795-9900

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	<b>COPIE</b> <b>MAR 18 2002</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	
(use as many sheets as necessary)	

Attorney Docket Number	47418/KMO/B583
Application Number	10/039,952
Filing Date	October 24, 2001
Applicant(s)	J. David Rozzell, Jr.
Group Art Unit	1623
Examiner Name	Not yet assigned

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,106,740	04-21-1992	Bader, et al.
		5,605,793	02-25-1997	Stemmer
		5,801,006	09-01-1998	Kaufman
		5,811,238	09-22-1998	Stemmer, et al.
		5,830,721	11-03-1998	Stemmer, et al.
		5,837,458	11-17-1998	Minshull, et al.
		5,958,672	09-28-1999	Short
		5,958,715	09-28-1999	Muller
		5,965,408	10-12-1999	Short
		6,001,574	12-14-1999	Short, et al.
		6,030,779	02-29-2000	Short
		6,054,267	04-25-2000	Short
		6,117,679	09-12-2000	Stemmer

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FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> . Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.			

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**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Catalog of biochemicals and reagents for life science research; 1998, p. 1871, Sigma Chemical Company.
		MANSSON and MOSBACH, <i>Methods in Enzymology</i> , 1987, Vol. 136. pp. 3-45.

EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="http://www.pto.gov">www.pto.gov</a> or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.	

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